

Docket: 01102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John A. JONES et al.

Art Unit: 3621

Serial No.: 09/863,759

Examiner: John M. WINTER

Filed: May 24, 2001

Last action: November 30, 2004

For: FINANCIAL TRANSACTION PROCESSING SYSTEM

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing of a Final Action and the required fee under Section 1.17(p) is enclosed.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

An English abstract is provided for each of : JP Laid-Open Publication 64-004891 JP; Laid-Open Publication 63-276170; JP Laid-Open Publication 01-095361; JP Laid-Open Publication 02-307196; and

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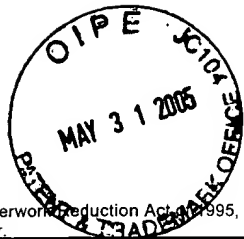
Certified Translations of: Laid-Open Publication 60-103444; Laid-Open Publication 61-86868; and Laid-Open Publication 62-208163 are enclosed.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,
DENNISON, SCHULTZ,
DOUGHERTY & MACDONALD

By: 
Amir H. Behnia
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Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/08A (10-01)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/863,759		
		Filing Date	May 24, 2001		
		First Named Inventor	John A. Jones		
		Art Unit	3621		
		Examiner Name	John M. Winter		
Sheet	1	of	2	Attorney Docket Number	01102

U.S. PATENT DOCUMENTS					
Examiner Initials ⁷	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US- 4,882,779	11-21-1989	Preben Rahtgen	
	2	US- 4,562,341	12-31-1985	Kenichi Ohmae et al	
	3	US- 3,931,497	01-06-1976	Fred J. Gentile et al	
	4	US- 4,659,914	04-21-1987	Hiroatsu Kondo et al	
	5	US- 4,562,340	12-31-1985	Kazuma Tateisi et al	
	6	US- 4,727,243	02-23-1988	Eugene Savar	
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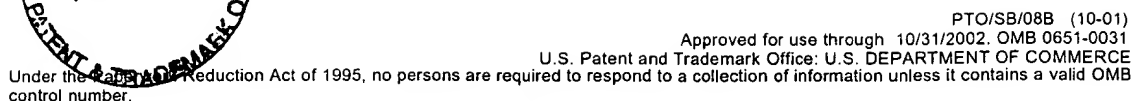
FOREIGN PATENT DOCUMENTS						
Examiner Initials ⁷	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	1	WO 85/04742	10-24-1985	Pen-Geinstituternes Kol		
	2	GB 2 180 679	04-01-1987	Suresh Parsram Mirpuri		
	3	GB 2 065 353	06-24-1981	LGZ Llandis & Gyr Zug		

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 2

Application Number	09/863,759
Filing Date	May 24, 2001
First Named Inventor	John A. Jones
Group Art Unit	3621
Examiner Name	John M. Winter
Attorney Docket Number	01102

[illegible]

Examiner
Signature

Date
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